

Express Mail Label No. EV339988671US

17652-00008
PATENT

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12/2/03
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Grewal et al.

Serial No.: 10/021,474

Filed: October 30, 2001

For: METHODS AND SYSTEMS FOR
PERFORMING A CONTROLLED SEARCH

:
: Art Unit: 2173

:
: Examiner:

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MAY 20 2003

Technology Center 2100

**AMENDMENT, PETITION AND FEE TO ADD UNNAMED
INVENTOR IN DECLARATION**

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. This amendment and petition are to correct the incorrect original naming of inventors in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on October 30, 2001.

2. Please add the following previously unnamed person as inventor of this application: William C. Nelson.

3. Attached are:

a statement from each person being added as an inventor that the error in inventorship occurred without deceptive intent on his or her behalf (37 C.F.R. § 1.48(a)(2)); and

a declaration by each of the actual inventors as required by 37 C.F.R. § 1.63 (37 C.F.R. § 1.48(a)(3)).

4. Also attached is a written assent of the assignee to add the above-mentioned inventor to the application (37 C.F.R. § 1.48(a)(5)).

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5. In accordance with 37 C.F.R. § 1.48(a)(4), charge the fee set forth in 37 C.F.R. § 1.17(i) in the amount of \$130.00 to Deposit Account No. 01-2384. If any additional fees are required, charge Account No. 01-2384.

Respectfully submitted,



Daniel M. Fitzgerald
Registration No. 38,880
ARMSTRONG TEASDALE LLP
One Metropolitan Square, Suite 2600
St. Louis, Missouri 63102-2740
(314) 621-5070



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STATEMENT OF ADDED INVENTOR UNDER 37 C.F.R. § 1.48(a)

Hon. Commissioner for Patents
Washington, D.C. 20231

This statement under 37 C.F.R. § 1.48(a) is submitted to add William C. Nelson as an additional inventor in Patent Application Serial No. 10/021,474 filed October 30, 2001. This inventorship error occurred without deceptive intent on my part.

Nov 25, 2002


WILLIAM C. NELSON



PATENT
17652-00008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Grewal et al.

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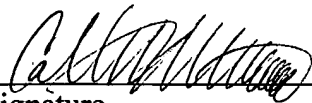
ASSENT OF ASSIGNEE TO ADD INVENTOR UNDER 37 C.F.R. § 1.48(a)

Hon. Commissioner for Patents
Washington, D.C. 20231

1. The Assignee of Patent Application Serial No. 10/021,474 filed October 30, 2001, is General Electric Company, 1 River Road, Schenectady, NY 12345.
2. This Assent of Assignee to Add Inventor is being submitted by General Electric Company (the "Assignee") pursuant to 37 C.F.R. § 1.48(a).
3. The Assignee assents to adding William C. Nelson as an additional inventor in Patent Application Serial No. 10/021,474 filed October 30, 2001.
4. Attached hereto is a Notice of Recordation of Assignment Document showing that Patent Application Serial No. 10/021,474 filed October 30, 2001, was assigned to the Assignee, recorded at Reel 012396/Frame 0824.

5. The undersigned certifies that he has the authority to execute this Assent of Assignee to Add Inventor under 37 C.F.R. § 1.48(a) on behalf of the Assignee, General Electric Company.

May 9, 2003
Date


Signature

Catherine Winter, Patent Counsel
Name and Title



UNITED STATES
PATENT AND
TRADEMARK OFFICE
★★★★



FEBRUARY 19, 2002

ARMSTRONG TEASDALE, LLP.
JOHN S. BEULICK
ONE METROPOLITAN SQ. SUITE 2600
ST. LOUIS, MO 63102

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/30/2001

REEL/FRAME: 012396/0824
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GREWAL, SUKHMINDER

DOC DATE: 10/25/2001

ASSIGNOR:

MOHAMMAD, MIR FAIZ

DOC DATE: 10/25/2001

ASSIGNEE:

GENERAL ELECTRIC COMPANY
ONE RIVER ROAD
SCHENECTADY, NEW YORK 12345

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MAY 20 2003

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SERIAL NUMBER: 10021474
PATENT NUMBER:

FILING DATE: 10/30/2001
ISSUE DATE:

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ENTERED
Date: 2/26/02
By: mca
17652-8



PATENT DECLARATION AND POWER OF ATTORNEY	Attorney Docket No. 17652-00008
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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHODS AND SYSTEMS FOR PERFORMING A CONTROLLED SEARCH**, the specification of which:

(check one) [] is attached hereto

 [3] was filed on October 30, 2001, as Application Serial No. 10/021,474,
 and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim priority benefits under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status (patented, pending, abandoned)</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.</u>
_____	_____	
_____	_____	
_____	_____	

I hereby appoint Ronald E. Myrick, Reg. No. 26,315; Henry J. Policinski, Reg. No. 26,621; James W. Mitchell, Reg. No. 25602; Scott R. Hayden, Reg. No. 41,821; Bernard Snyder, Reg. No. 24,843; Catherine J. Winter, Reg. No. 38,364, all of General Electric Company, 3135 Easton Turnpike, Fairfield, CT 06431; John S. Beulick, Reg. No. 33,338; Patrick W. Rasche, Reg. No. 37,916; Michael Tersillo, Reg. No. 42,180; Bruce T. Atkins, Reg. No. 43,476; Robert B. Reeser III, Reg. No. 45,548; Thomas M. Fisher, Reg. No. 47,564; and Daniel M. Fitzgerald, Reg. No. 38,880; all of Armstrong Teasdale LLP, One Metropolitan Square, Suite 2600, St. Louis, MO 63102-2740, jointly, and each of them severally, my attorneys and attorney, with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith.

I hereby direct that all correspondence and telephone calls in connection with this application be addressed to the said

John S. Beulick, Reg. No. 33,338
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102.
Telephone No. (314) 621-5070.

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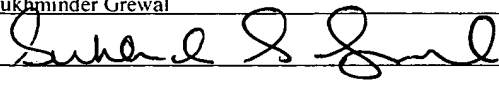
Technology Center 2100

COMBINED DECLARATION AND POWER OF ATTORNEY	Attorney Docket No. 17652-00008
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

SOLE OR FIRST INVENTOR:

Full Name: Sukhminder Grewal

Signature: 

Date: 11/26/02

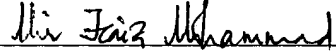
Residence: New Haven, CT 06515

Citizenship: USA

Post Office Address: 35 Mumford Road, New Haven, CT 06515

SECOND JOINT INVENTOR, IF ANY:

Full Name: Mir Faiz Mohammad

Signature: 

Date: NOV-26-2002

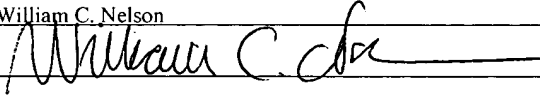
Residence: Hamden, CT 06514

Citizenship: India

Post Office Address: 925 Mix Avenue, Apt. 2C, Hamden, CT 06514

THIRD JOINT INVENTOR, IF ANY:

Full Name: William C. Nelson

Signature: 

Date: NOV-26-2002

Residence: Hamden, CT 06517

Citizenship: USA

Post Office Address: 119 Haverford Street, Hamden, CT 06517



05-15-03

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**CERTIFICATE OF MAILING BY EXPRESS MAIL TO
THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Express Mail mailing label number: EV339988671US

Date of Mailing: May 14, 2003

I certify that the documents listed below:

- Amendment, Petition and Fee to Add Unnamed Inventor in Declaration (2 pgs.), in duplicate
- Statement of Added Inventor Under 37 C.F.R. § 1.48(a) (1 pg.)
- Assent of Assignee to Add Inventor Under 37 C.F.R. § 1.48(a) (2 pgs.) with copy of Notice of Recordation cover sheet showing Assignment previously recorded (1 pg.)
- Combined Declaration and Power of Attorney of Sukhminder Grewal, Mir Faiz Mohammad and William C. Nelson (2 pgs.)
- Return post card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Daniel M. Fitzgerald
Registration No. 38,880
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102
314/621-5070